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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/667,602	09/23/2003	Chang-Seob Kim	61610096US	9753

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EXAMINER

ALEJANDRO, RAYMOND

ART UNIT PAPER NUMBER

1745

DATE MAILED: 07/24/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 10/667,602	<b>Applicant(s)</b> KIM, CHANG-SEOB	
	<b>Examiner</b> Raymond Alejandro	<b>Art Unit</b> 1745	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 11 July 2006.  
 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.  
 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☐ Claim(s) 1-22 is/are pending in the application.  
     4a) Of the above claim(s) 4-6 and 10-22 is/are withdrawn from consideration.  
 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.  
 6) ☒ Claim(s) 1-3, 7-9 is/are rejected.  
 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.  
 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.  
 10) ☒ The drawing(s) filed on 07/11/06 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  
 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
     a) ☒ All    b) ☐ Some \* c) ☐ None of:  
         1. ☒ Certified copies of the priority documents have been received.  
         2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
         3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |                                                                                                                                               |                                                                                         |
|-----------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                                                                              | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                                          | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>07/11/06</u> . | 6) <input type="checkbox"/> Other: _____                                                |

***DETAILED ACTION***

***Response to Amendment***

This document is being provided in response to applicant's communication dated 07/11/06. The applicant has only overcome the objections. Refer to the abovementioned amendment for specific details on applicant's rebuttal arguments and remarks. However, the 35 USC 102 and 103 rejections still stand for the reasons of record. Accordingly, the present claims are finally rejected over the same art as set forth hereunder:

***Election/Restrictions***

1. This application contains claims 4-6 and 10-22 drawn to an invention nonelected with traverse in Paper No. 04/05/06. A complete reply to the final rejection must include cancellation of nonelected claims or other appropriate action (37 CFR 1.144) See MPEP § 821.01.

***Information Disclosure Statement***

2. The information disclosure statement (IDS) submitted on 07/11/06 was considered by the examiner.

***Drawings***

3. The drawings were received on 07/11/06. These drawings are acceptable.

***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

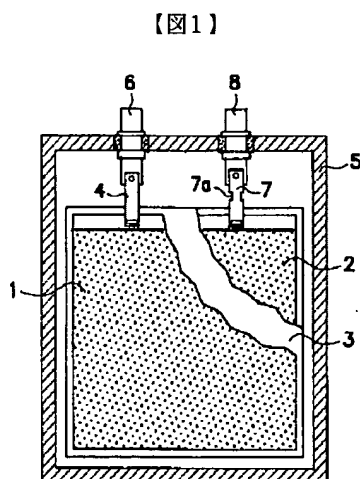
5. Claims 1-3 and 7-8 are rejected under 35 U.S.C. 102(b) as being anticipated by the Japanese publication 10-214614 (herein called the JP'614).

The present claims are directed to an electrode assembly wherein the disclosed inventive concept comprises the specific current interrupter.

As to claim 1:

The JP'614 discloses an electrode assembly comprising a positive electrode 1 and a positive electrode lead 4; a negative electrode 2 and a negative electrode lead 7 (P. 0002/ CLAIM 1/FIGURE 1). The electrode assembly is a laminated (stacked) and wound assembly (P. 0011).

**Figure 1** below illustrates the specific configuration of the electrode assembly:

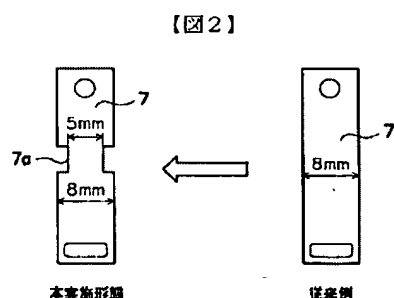


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A constricted portion 7a is made at the center between an upper and lower side of the negative electrode lead 7 (ABSTRACT). Accordingly, as for the negative electrode, the constricted portion 7a sets a current limit (ABSTRACT/ P. 0014-16).

As to claim 2:

As shown in **Figure 2**, section 7a has a smaller cross-sectional area than a cross-sectional area of the negative electrode lead (See Figure 2).



As to claim 3:

It is apparent from **Figure 2** above that section 7a forms a notch and/or an indentation and/or an angular cut in the edge (See Figure 2).

As to claim 7:

At least, the cross sectional area near section 7a can be represented by 5 mm x L, while the cross sectional area of the negative electrode can be represented by 8mm x L (See Figure 2). *Thus, the cross sectional area near section 7a is about 0.625 times that of the cross sectional area of the negative electrode.*

As to claim 8:

The negative electrode lead is made of copper (P. 0014).

Thus, the claims are anticipated.

***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

8. Claim 9 is rejected under 35 U.S.C. 103(a) as being unpatentable over the Japanese publication 10-214614 (herein called the JP'614) as applied to claim 1 above, and further in view of Arai et al 2005/0171383.

The JP'614 is applied, argued and incorporated for the reasons expressed above. However, the preceding prior art does not expressly disclose the negative electrode lead made of nickel.

Arai et al disclose a battery comprising an electrode assembly including a negative electrode and a positive electrode (P. 0081-0083); wherein the negative electrode lead is made of nickel (P. 0083).

In view of the above, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to use the negative electrode lead made of nickel of Arai et al in the electrode assembly of the JP'614 because nickel is a suitable conducting metal material. Thus, the use of a nickel negative electrode lead allows to maintain good electrical conductivity within the electrode assembly.

### ***Response to Arguments***

9. Applicant's arguments filed 07/11/06 have been fully considered but they are not persuasive.

10. The gist of applicant's arguments against the JP'614 reference is based on the assertion that "*claim 1 recites, inter alia, an electrode assembly for a lithium ion cell...Specifically, Inoue (the JP'614) fails to teach application of Inoue's invention to a lithium ion cell*". However, this assertion is insufficient to overcome the preceding rejection. In response to applicant's arguments, the recitation "*for a lithium ion cell*" has not been given patentable weight because the recitation occurs in the preamble. A preamble is generally not accorded any patentable weight where it merely recites the purpose of a process or the intended use of a structure, and where the body of the claim does not depend on the preamble for completeness but, instead, the process steps or structural limitations are able to stand alone. See *In re Hirao*, 535 F.2d 67, 190 USPQ 15 (CCPA 1976) and *Kropa v. Robie*, 187 F.2d 150, 152, 88 USPQ 478, 481 (CCPA 1951).

11. In response to applicant's argument that his invention is "*an electrode assembly for a lithium ion cell*", a recitation of the intended use of the claimed invention must result in a

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structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim.

12. In response to applicant's argument that there is no suggestion to combine the references, the examiner recognizes that obviousness can only be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some teaching, suggestion, or motivation to do so found either in the references themselves or in the knowledge generally available to one of ordinary skill in the art. See *In re Fine*, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988) and *In re Jones*, 958 F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992). The test for obviousness is not whether the features of a secondary reference may be bodily incorporated into the structure of the primary reference; nor is it that the claimed invention must be expressly suggested in any one or all of the references. (***Emphasis supplied***→) Rather, the test is what the combined teachings of the references would have suggested to those of ordinary skill in the art. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981). *In this case, the motivation provided by the examiner is based on the fact that nickel is a conducting metal material which has been recognized in the field of applicant's endeavor as a suitable material for purposes of constructing electrode structures or variants thereof. As a result, those of ordinary skill in the art would find that by using nickel as part of any electrode structure good electrical conductivity within the electrode assembly is achieved.*

13. In response to applicant's argument that “*While the Office Action asserts that nickel may be substituted as an electrode lead, the more important question is whether there is suggestion to substitute nickel for Inoue's constricted portion 7a in the dimensions disclosed by Inoue, and*



*whether nickel would be suitable to perform the function of Inoue's constricted portion...”, the fact that applicant has recognized another advantage which would flow naturally from following the suggestion of the prior art cannot be the basis for patentability when the differences would otherwise be obvious. See Ex parte Obiaya, 227 USPQ 58, 60 (Bd. Pat. App. & Inter. 1985). The combination of the JP '614 reference with Arai et al '383 is a solid combination for the reasons expressed supra. In consequence, such a combination represents a concrete prima-facie case of obviousness not only for addressing and showing all the claimed limitations but also for providing specific guidance to recognize that nickel can be used as an electrode lead. This provides sufficient specificity to arrive at the conclusion that nickel is a suitable material being used for making electrode leads for the benefits of enhancing conducting characteristics.*

### **Conclusion**

14. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

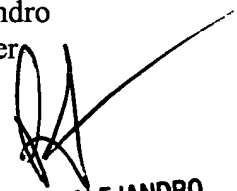
A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Raymond Alejandro whose telephone number is (571) 272-1282. The examiner can normally be reached on Monday-Thursday (8:00 am - 6:30 pm).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Patrick J. Ryan can be reached on (571) 272-1292. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Raymond Alejandro  
Primary Examiner  
Art Unit 1745

  
**RAYMOND ALEJANDRO  
PRIMARY EXAMINER**